





PTO/SB/08B (08-03)  
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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/796,830
Filing Date	March 9, 2004
First Named Inventor	Roger Buelow et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2506

Sheet 2 of 2

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s); publisher, city and/or country where published.	T <sup>2</sup>
PR	3	2 pages entitled "Uniglo Backlighting" from <a href="http://www.poly-optical.com/uniglo.html">http://www.poly-optical.com/uniglo.html</a> webpage (when last viewed on 05/02/04) showing uniform extraction of light from a light guide - admitted as prior art.	
JMR	4	2 pages entitled "Design of Luxaura Light Guide" from <a href="http://www.luxaura.com/tech.shtml">http://www.luxaura.com/tech.shtml</a> webpage (when last viewed on 05/02/04) showing emitting light in a radial swath - admitted as prior art.	

Examiner  
Signature

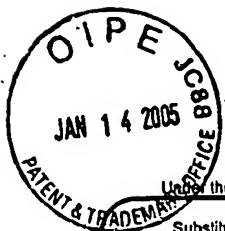
Date  
Considered

3/4/6

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Sheet 1 of 3

**Complete if Known**

Application Number	10/796,830
Filing Date	03/09/2004
First Named Inventor	Roger F. Buelow II
Art Unit	2874
Examiner Name	
Attorney Docket Number	2506

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 3,497,981	03-03-1970	G. H. Tyne	
	2	US- 3,535,018	10-20-1970	A. J. Vasilatos	
	3	US- 4,585,298	04-29-1986	Mori	
	4	US- 4,765,701	08-23-1988	Cheslak	
	5	US- 5,036,435	07-30-1991	Tokuda et al.	
	6	US- 5,222,795	06-29-1993	Hed	
	7	US- 5,857,761	01-12-1999	Abe et al.	
	8	US- 5,987,199	11-16-1999	Zarian et al.	
	9	US- 6,039,553	03-21-2000	Lundin et al.	
	10	US- 6,095,673	08-01-2000	Goto et al.	
	11	US- 6,169,836 B1	01-02-2001	Sugiyama et al.	
	12	US- 6,215,947 B1	04-10-2001	Abramowicz et al.	
	13	US- 6,278,827 B1	08-21-2001	Sugiyama et al.	
	14	US- 6,419,383 B1	07-16-2002	Lundin	
	15	US- 6,488,397 B1	12-03-2002	Masutani et al.	
	16	US- 6,563,993 B1	05-13-2003	Imamura et al.	
	17	US- 6,577,794 B1	06-10-2003	Currie et al.	
	18	US- 6,597,834 B1	07-22-2003	Hata	
	19	US- 6,637,924 B2	10-28-2003	Pelka et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	3/4/6
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**JAN 14 2005**

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

**2**

of 3

3

**Complete if Known**

Application Number	10/796.830
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10/796.830

<b>Filing Date</b>	03/09/2004
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03/09/2004

First Named Inventor	Roger F. Buelow II
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**Roger F. Buelow II**

Art Unit	2874
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2874

**Examiner Name**

Attorney Docket Number	2506
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2506

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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**Examiner  
Signature**

*J. Rusk*

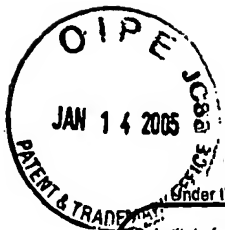
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Art Unit	2874
Examiner Name	
Attorney Docket Number	2506

Sheet	3	of	3
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	21	Photograph of light pipe shown at the following two exhibitions:	
	21	(1) Defense Advanced Research Projects Agency (DARPA), attended by military and military contractors,	
	21	March 9-11, 2004 (DARPA)	
	21	(2) Light Fair, Las Vegas, Nevada, attended by the public, March 31 - April 2, 2004.	

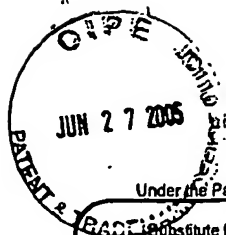
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		Filing Date	March 9, 2004
		First Named Inventor	Roger F. Buelow II
		Art Unit	2874
		Examiner Name	
Sheet 1	of 1	Attorney Docket Number	2506

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
JR	1	US- 4,907,132	03-06-1990	Parker	
	2	US- 5,312,569	05-17-1994	Mezel	
	3	US- 5,312,570	05-17-1994	Halter	
	4	US- 5,499,912	03-12-1996	Mezel	
		US-			
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FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
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Sheet 1

of

1

## Complete If Known

Application Number 10/798,830

Filing Date March 9, 2004

First Named Inventor Roger F. Buelow II

Art Unit

Examiner Name Rude

Attorney Docket Number 2508

## NON PATENT LITERATURE DOCUMENTS

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	1	(Atty. Docket # 2507) Specification and drawings for US Patent Application SN 10/797,383, filed 10-Mar-04,	
	1	for Side-Light Extraction by Light Pipe-Surface Alteration by Chris H. Jensen, John M. Davenport, Roger F. Buelow II, and Brian Doucet.	
	1	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.	
	2	(Atty. Docket # 2510) Specification and drawings for US Patent Application SN 10/797,761, filed 10-Mar-04,	
	2	for Light Pipe with Side Light Extraction by Roger F. Buelow II, Chris H. Jensen, and John M. Davenport.	
	2	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.	
	3	(Atty. Docket # 2568) Specification and drawings for US Patent Application SN 10/108,279, filed 18-Apr-05,	
	3	for Efficient Luminaire with Directional Side-Light Extraction by William J. Cassarly, Thomas L.R. Davenport, John M. Davenport, and Chris H. Jensen.	
	3	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.	

Examiner Signature	Date Considered 3/4/6
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